

Fonctions de complexité et complexité tout court

Pascal Vanier

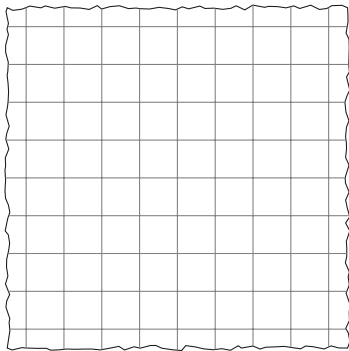
Laboratoire d'Algorithmique Complexité et Logique, UPEC

Dyadisc 3, le reretour

Subshifts and subshifts of finite type

A **finite** alphabet:

$$\Sigma = \{\text{red square}, \text{blue square}\}$$

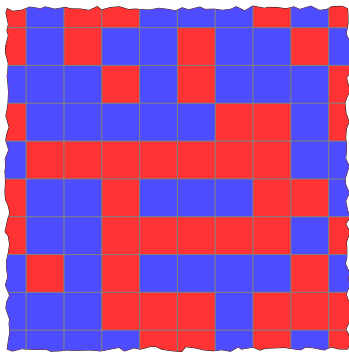


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A **tiling** or **configuration** is a coloring of \mathbb{Z}^d :



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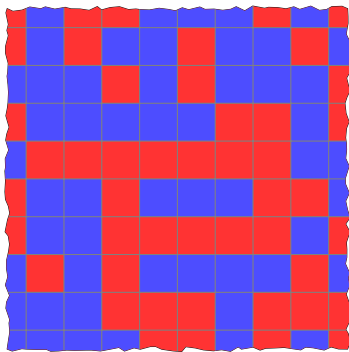
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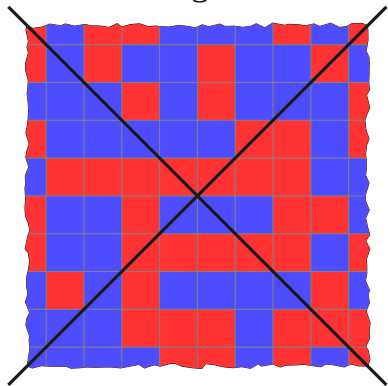
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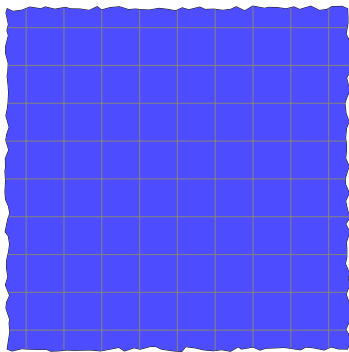
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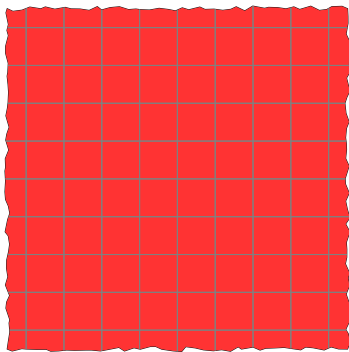
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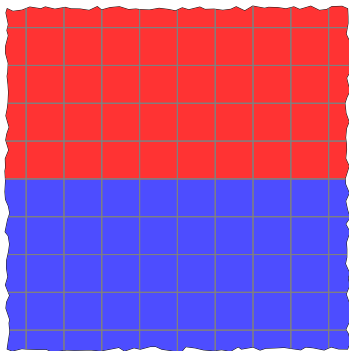
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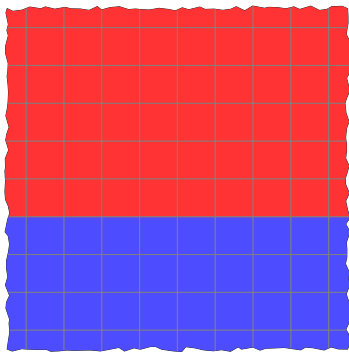
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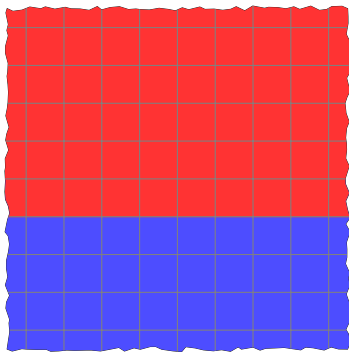
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Subshift of finite type (SFT):
set of configurations avoiding \mathcal{F} . We note $\mathcal{X}_{\mathcal{F}}$:

$$\mathcal{X}_{\mathcal{F}} = \left\{ \begin{array}{|c|} \hline \text{blue} \\ \hline \end{array}, \begin{array}{|c|} \hline \text{red} \\ \hline \end{array}, \begin{array}{|c|} \hline \text{red} \\ \hline \text{blue} \\ \hline \end{array}, \begin{array}{|c|} \hline \text{red} \\ \hline \text{red} \\ \hline \end{array}, \dots \right\}$$

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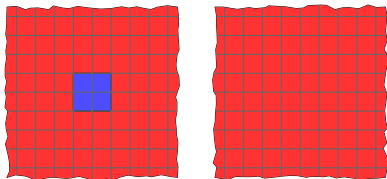
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The **family** may also be **infinite**
we then talk about **subshifts**.

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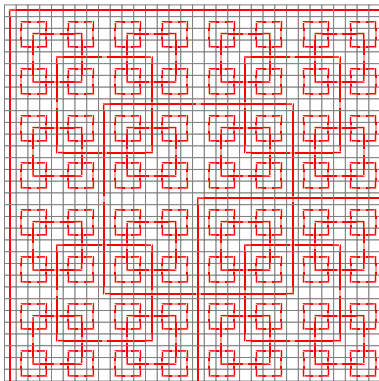
Things get interesting in $d \geq 2$

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[Robinson 1971]

Things get interesting in $d \geq 2$

[Berger 1964] There exists an SFT containing only **non-periodic points**.

And numerous others:

[Knuth 1968]

[Anderaa & Lewis 1974]

[Kari 1996]

[Ollinger 2008]

[Durand, Romashchenko & Shen 2008]

[Poupet 2010]

[Jeandel & Rao 2015]

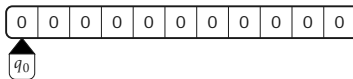
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Nothing is easy in $d \geq 2$

Theorem [Berger 1964] It is **undecidable** to know whether $\mathcal{X}_{\mathcal{F}}$ is empty, given \mathcal{F} as input.

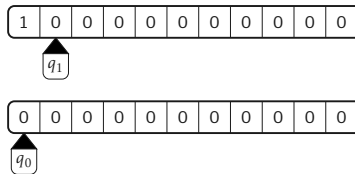
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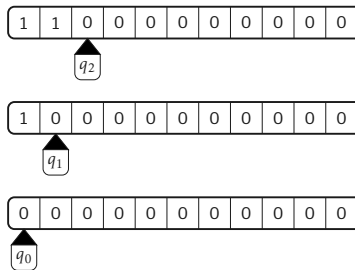
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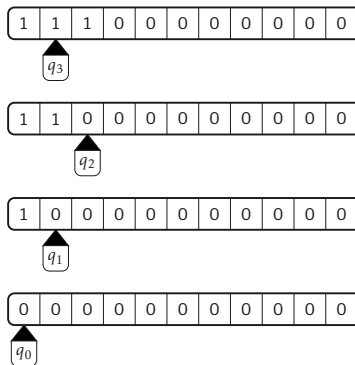
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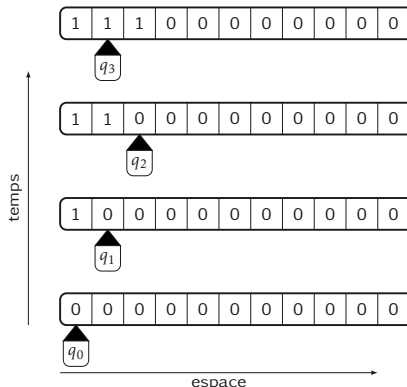
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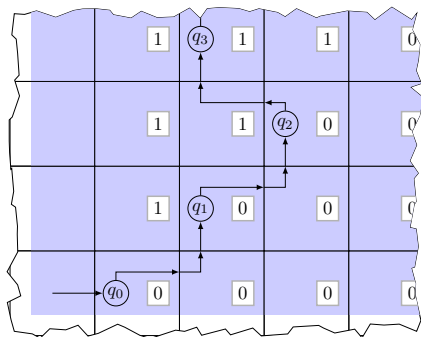
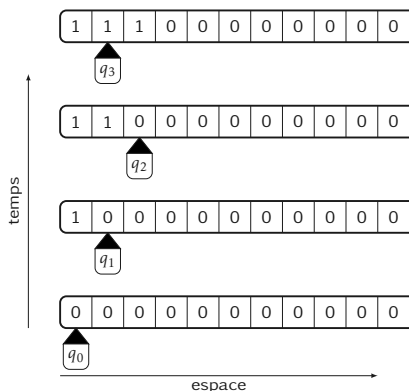
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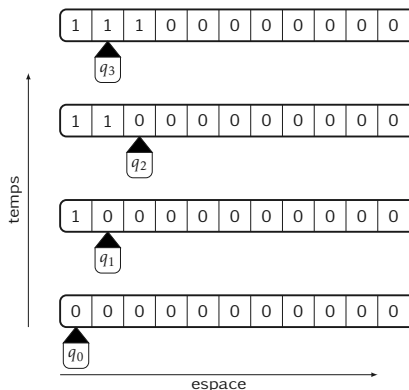
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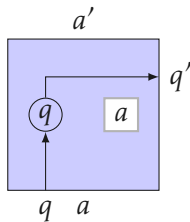


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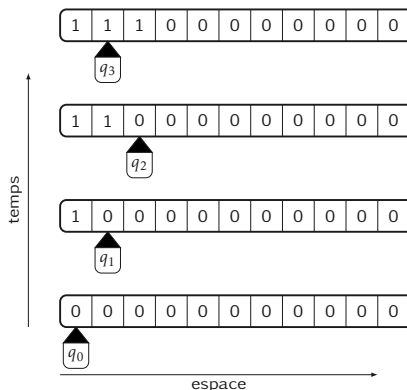


$$(q, a) \longrightarrow (q', a', \rightarrow)$$

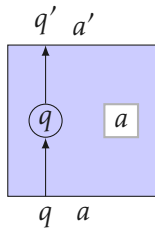


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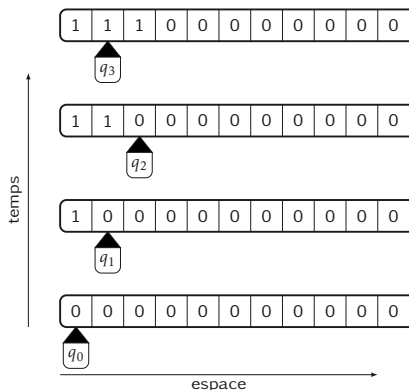


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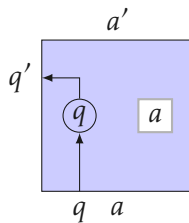


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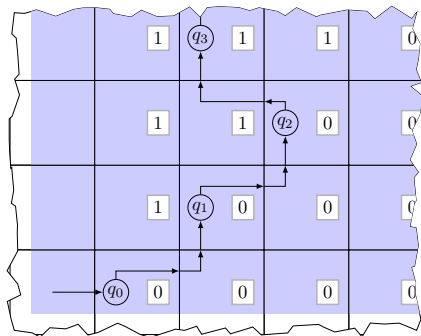
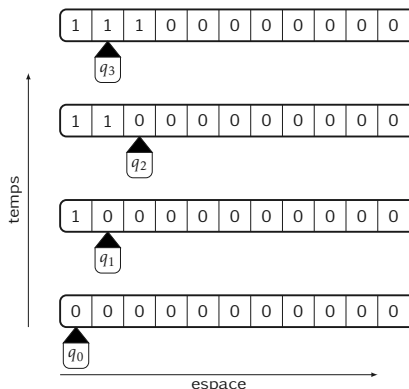


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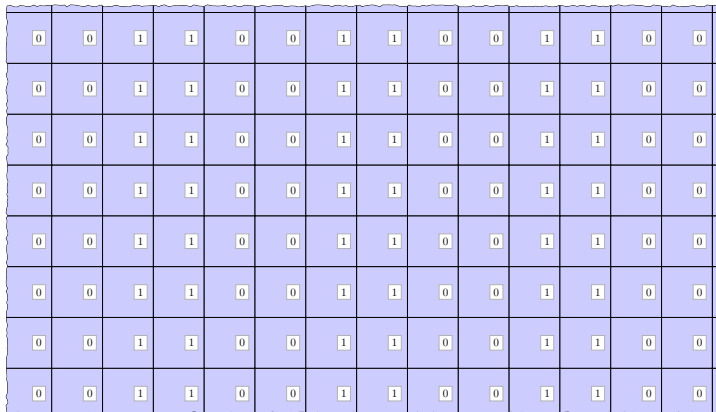
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Infinite tiling \Leftrightarrow Turing machine **does not halt**

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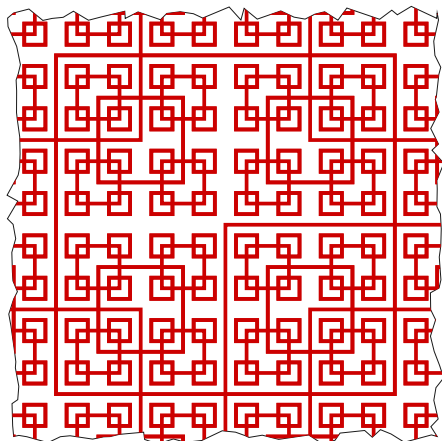
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0	0	1	1	0	0	1	1	0	0	1	1	0	0
0	0	1	1	0	0	1	1	0	0	1	1	0	0
0	0	1	1	0	0	1	1	0	0	1	1	0	0
0	0	1	1	0	0	1	1	0	0	1	1	0	0
0	0	1	1	0	0	1	1	0	0	1	1	0	0
0	0	1	1	0	0	1	1	0	0	1	1	0	0
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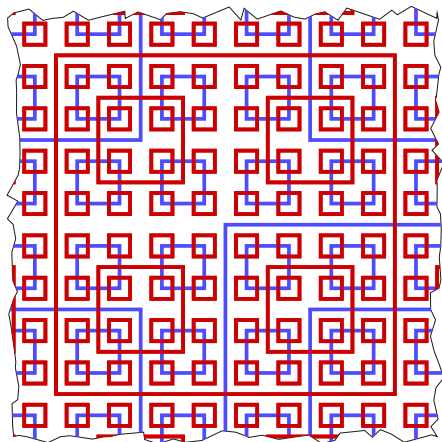
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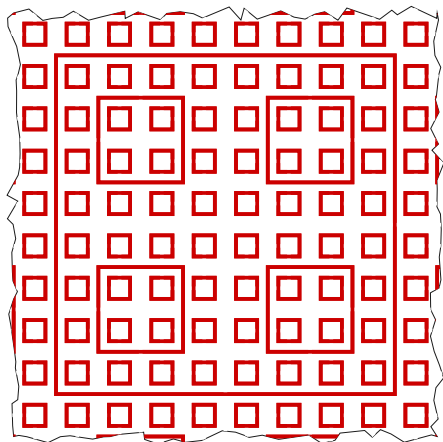
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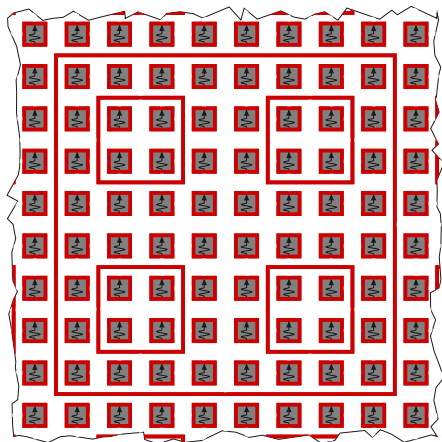
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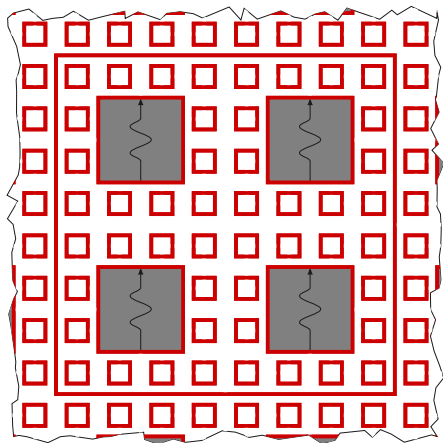
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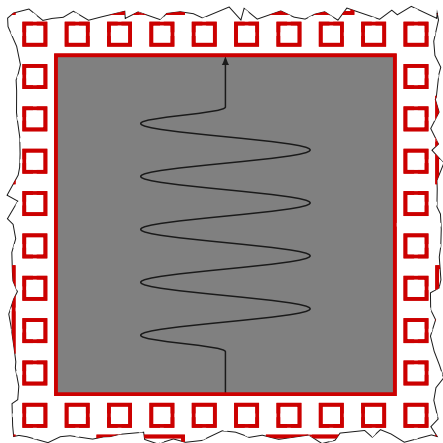
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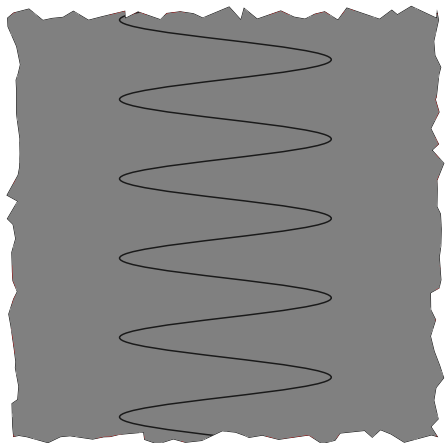
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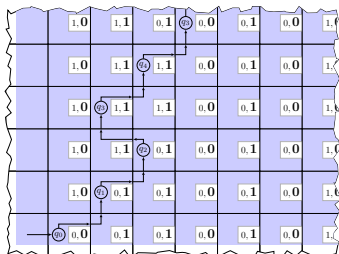
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SFTs without any computable configuration

There exists a TM M that **does not halt** only on **non computable oracles**:



The quarter plane may be tiled iff M does not halt on x .

Theorem [Hanf-Myers 1974] **There exist SFTs containing only non computable points.**

The right tool

SFTs are **dynamical systems**, some quantities/concepts are important:

- Topological Entropy : measure of the growth of the number of patterns

- Number of periodic points

- Subactions, non-expansive directions, growth-type invariants...

The right tool

SFTs are **dynamical systems**, some quantities/concepts are important:

- Topological Entropy : measure of the growth of the number of patterns

[Hochman & Meyerovitch 2010] **Entropies** of SFTs correspond to the **upper semi-computable** real numbers.

- Number of periodic points

[Jeandel & V. 2015] The functions counting the number of periodic points are exactly the functions of **#P**.

- Subactions, non-expansive directions, growth-type invariants...

Turing degrees

- $x \leq_T y$ if there exists a **TM that outputs x with input y** .
- $x \equiv_T y$ if $x \leq_T y$ and $x \geq_T y$.
- A **Turing degree** is an equivalence class for \equiv_T . The degree of x is noted $\text{deg}_T x$.

The simplest degree is **0**: the degree of computable objects.

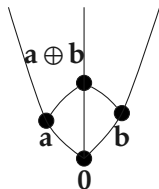
- Turing degree of a configuration.
- **Turing degree spectrum** of a subshift:

$$\mathbf{Sp}(X) = \{\text{deg}_T x \mid x \in X\}$$

Turing degrees

There exists a degree $\mathbf{a} \oplus \mathbf{b}$ which is the smallest above both \mathbf{a} and \mathbf{b} .

- Every Turing degree contains exactly \aleph_0 elements.
- There are 2^{\aleph_0} Turing degrees.
- There are at most \aleph_0 degrees below any degree.
- There are 2^{\aleph_0} degrees above each degree.



$\mathbf{0}$ the degree of computable sequences.

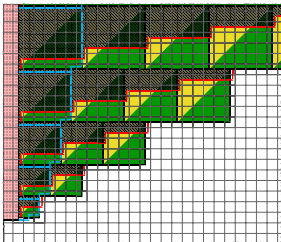
There exist **incomparable degrees** \mathbf{a}, \mathbf{b} :

$$\mathbf{a} \not\leq_T \mathbf{b} \text{ and } \mathbf{b} \not\leq_T \mathbf{a}$$

Turing degree spectra of subshifts

Theorem [Jeandel & V. 2013] For any **effectively closed** set of Turing degrees S , there exists an SFT X with the **same spectrum up to $\mathbf{0}$** :

$$\mathbf{Sp}(S) \cup \{\mathbf{0}\} = \mathbf{Sp}(X)$$



Turing degree spectra of subshifts

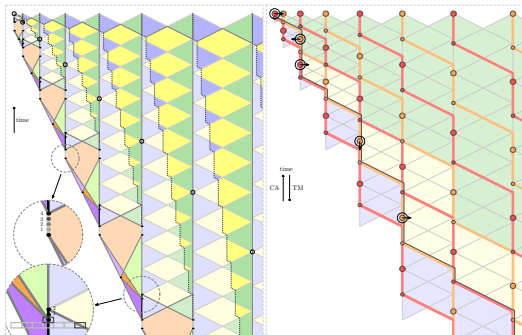
Theorem [Jeandel & V. 2013] For any **closed** set of Turing degrees S , there exists an **subshift** X with the **same spectrum up to 0**:

$$\mathbf{Sp}(S) \cup \{0\} = \mathbf{Sp}(X)$$

...-----1-0--1---1---0-----1-----

Turing degree spectra of subshifts

Theorem [Borello, Cervelle & V. 2013] Spectra of limit sets of cellular automata are the effectively closed sets of Turing degrees containing 0 .

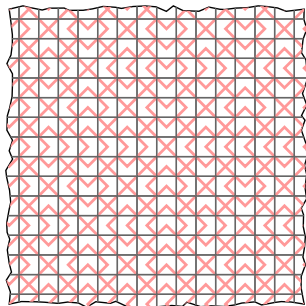


Minimality

Definition A subshift X is **minimal** iff **all its configurations contain the same patterns.**

Uniform recurrence. For every pattern, there exists a window in which it will always appear.

Example :



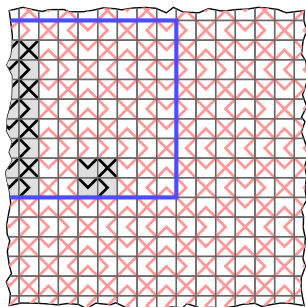
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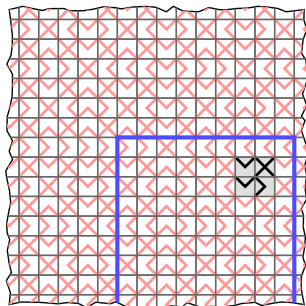
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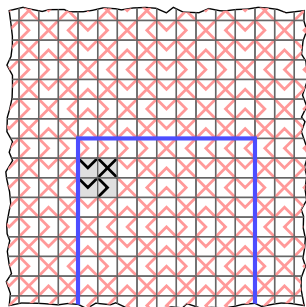
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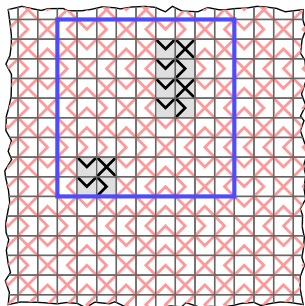
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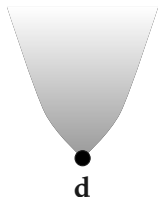
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Minimality and Turing degrees

Theorem [Jeandel & V. 2013] Let X be a non finite **minimal subshift**, then $\mathbf{Sp}(X)$ contains the **cone** of degrees **above any of its points**.



Cone above d :

$$C_d = \{d' \mid d' \geq_T d\}$$

Spectra of minimal SFTs

Theorem Let X be a subshift, and $x \in X$ be an aperiodic recurrent point, then $\mathbf{Sp}(X)$ contains the cone above $\deg_T x$.

Proof. We build two **computable** functions:

- $enc : A \times \{0, 1\}^{\mathbb{N}} \rightarrow A$
- $dec : A \rightarrow \{0, 1\}^{\mathbb{N}}$

such that $(x, y) \in A \times \{0, 1\}^{\mathbb{N}}$:

$$dec(enc(x, y)) = y$$

Spectra of minimal SFTs

Theorem Let X be a subshift, and $x \in X$ be an aperiodic recurrent point, then $\mathbf{Sp}(X)$ contains the cone above $\deg_T x$.

Proof. We build two **computable** functions:

- $enc : A \times \{0, 1\}^{\mathbb{N}} \rightarrow A$ $\deg_T(enc(x, y)) \leq_T \deg_T x \oplus y$
- $dec : A \rightarrow \{0, 1\}^{\mathbb{N}}$ $\deg_T(dec(x)) \leq_T \deg_T x$

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such that $(x, y) \in A \times \{0, 1\}^{\mathbb{N}}$:

$$dec(enc(x, y)) = y$$

So we have this inequality:

$$\deg_T(y) \leq_T \deg_T(enc(x, y)) \leq_T \deg_T(x \oplus y)$$

In particular if we **choose y such that $\deg_T(y) \geq \deg_T(x)$** , then

$$\deg_T(enc(x, y)) = \deg_T(y)$$

Idea of the proof : in dimension 1

- $enc : A \times \{0, 1\}^{\mathbb{N}} \rightarrow A$
- $dec : A \rightarrow \{0, 1\}^{\mathbb{N}}$



By induction: from a word c_i construct c_{i+1} .

Idea of the proof : in dimension 1

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Minimality: we know that c_i appears in any window of sufficiently big.

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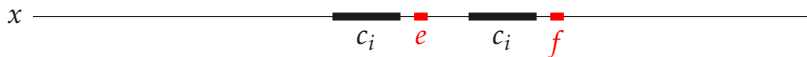
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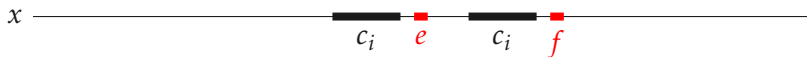
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x cannot be periodic since X is non finite.

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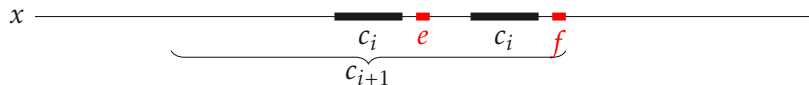


x cannot be periodic since X is non finite.

$e < f$ or $e > f$, both cases will appear somewhere.

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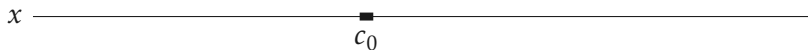


c_{i+1} is constructed according to y_i :

- if $y_i = 0$, take $e < f$,
- if $y_i = 1$, take $e > f$.

Idea of the proof : in dimension 1

- $enc : A \times \{0, 1\}^{\mathbb{N}} \rightarrow A$
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Start with $c_0 = x_0$, and iterate.

Idea of the proof : in dimension 1

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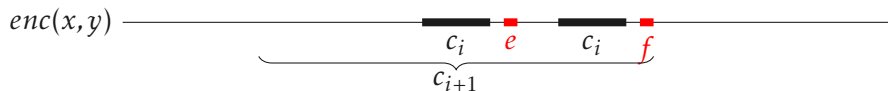
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$$x \quad \overline{\hspace{15em}} \quad \lim_{\infty} c_i = enc(x, y)$$

Start with $c_0 = x_0$, and iterate.

Idea of the proof : in dimension 1

- $enc : A \times \{0, 1\}^{\mathbb{N}} \rightarrow A$
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Start with $c_0 = x_0$, and look for the first differing letters e, f .

- if $e > f$ then $y_i = 1$
- if $e < f$ then $y_i = 0$

We now know c_1 and can look for c_2 and so on...



Complexity function

Dimension 1 from now on.

Most results do not translate to higher dimensions.

Definition The **complexity function**:

$$c_n(X)$$

counts the **number of patterns of size n** .

Linear complexity

The trivial cases

- $c_n(X) < n + 1 \Rightarrow$ Only periodic configurations

...123123123123123...

- $c_n(X) = n + k$ and eventually periodic on both sides

...000000100000000...

$$\mathbf{Sp}(X) = \mathbf{0}$$

Linear complexity

Sturmian subshifts

- Low complexity : $c_n(X) = n + 1$
- No periodic points
- Only aperiodic recurrent points

...101001001010010100100101010...

$\underbrace{\hspace{10em}}_w$ $\underbrace{\hspace{10em}}_{w'}$

- If w, w' have the same length then $||w|_1 - |w'|_1| \leq 1$.
- Density of 1s tends to $\{\alpha\}$.

$$\mathbf{Sp}(X) = \mathcal{C}_{\deg_T \alpha}$$

Linear complexity

Theorem If $c_n(X) \sim tn$ then, $\mathbf{Sp}(X)$ contains **at most k isolated degrees and k cones with $k + k' \leq t$.**

Lemma If $c_n X \sim tn$ then X contains **at most t non recurrent aperiodic configurations.**

at most t isolated degrees.

Lemma If $c_n X \sim tn$ and X contains k **non recurrent aperiodic configurations** then X contains **at most $t - k$ recurrent aperiodic configurations** with different language.

$\{\mathcal{L}(x) \mid x \text{ aperiodic recurrent}\}$

at most $t - k$ cones.
not directly though...

Linear complexity

Aperiodic recurrent configurations

Lemma If x is aperiodic recurrent with linear complexity, then

$$x \geq_T \mathcal{L}(x)$$

Theorem [Cassaigne 1995] If x has linear growth, then $c_{n+1}(x) - c_n(x)$ is bounded by a constant.

There exists N and M such that for **infinitely many** $n > N$:

$$c_{n+1}(X) - c_n(X) = M$$

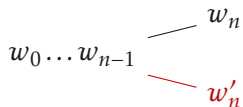
Linear complexity

Aperiodic recurrent configurations

There exists N and M such that for **infinitely many** $n > N$:

$$c_{n+1}(X) - c_n(X) = M$$

Some words can be followed by different letters:



There are exactly M **choices** for all words of length n .

Linear complexity

Aperiodic recurrent configurations

Lemma If x is aperiodic recurrent with linear complexity, then

$$x \geq_T \mathcal{L}(x)$$

Take x as an oracle and output $\mathcal{L}(x)$:

- hardcode N, M
- scan x and find all words of the same length with several choices : S

Linear complexity

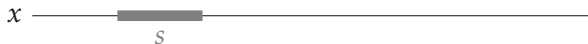
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Take x as an oracle and output $\mathcal{L}(x)$:

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- Find all n -letter words:



- We now have $\mathcal{L}_k(x)$ for $k \leq n$.

Linear complexity

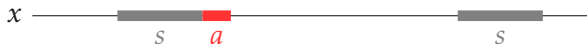
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Linear complexity

Last ingredient:

Lemma If x is aperiodic recurrent, there exists y such that

$$\mathcal{L}(x) = \mathcal{L}(y) \text{ and } \deg_T y = \deg_T \mathcal{L}(x)$$

Theorem If $c_n(X) \sim tn$ then, $\mathbf{Sp}(X)$ contains **at most k isolated degrees and k cones with $k + k' \leq t$.**

Linear complexity

Theorem There exist linear complexity subshifts with k cones and k' isolated degrees for any k, k' .

- k cones: union of Sturmians
- k' isolated degrees:

$$\cdots \text{---} s_0 \underbrace{\text{---} \cdots \text{---}}_{f(0)} s_1 \underbrace{\text{---} \cdots \text{---}}_{f(1)} s_2 \underbrace{\text{---} \cdots \text{---}}_{f(2)} s_3 \text{---} \cdots$$

- ▶ $s \in \{0, 1\}^{\mathbb{N}}$
- ▶ f computable and strictly increasing
- ▶ same degree as s
- ▶ linear growth

Exponential complexity: positive entropy

Exponential complexity (=positive entropy):

$$c_n(X) \sim a^n$$

Theorem If $h(X) > 0$, then $\mathbf{Sp}(X)$ contains a cone.

Theorem Any spectrum containing a cone can be realized by a subshift with entropy in this cone.

The inbetweeners

Slowest

Fastest



- **Constant** Only 0.
- **Linear** Finite number of cones and isolated degrees.
- **Exponential** Contain a cone.

The inbetweeners

Slowest

Fastest



- **Constant** Only 0.
- **Linear** Finite number of cones and isolated degrees.
- **Exponential** Contain a cone.
- **Superlinear ?**

Slow superlinear complexity

Theorem For any countable set of degrees, $S = \{\mathbf{d}_1, \mathbf{d}_2, \dots\}$ there exists subshifts with **arbitrarily slow superlinear complexity** and **spectrum** $\bigcup \mathcal{C}_{\mathbf{d}_i}$.

Proof idea.

Take some increasing unbounded f .

Take $(\alpha_k)_{k \in \mathbb{N}}$ and $(m_k)_{k \in \mathbb{N}}$ such that

$$\alpha_k \rightarrow \alpha_0 \quad \text{and} \quad \mathcal{L}_{m_k}(S_{\alpha_k}) = \mathcal{L}_{m_k}(S_{\alpha_0}) \quad \text{and} \quad m_{f(n)/2} > n$$

$\deg_T \alpha_k = \mathbf{d}_k$

Define

$$X = \bigcup_k S_{\alpha_k} \quad \text{its spectrum is } \mathbf{Sp}(X) = \bigcup \mathbf{d} \in \mathcal{SC}_{\mathbf{d}}$$

it is **closed** since $\alpha_k \rightarrow \alpha_0$, and hence a subshift.

$$c_n(X) \text{ is bounded by } nf(n).$$

Slow superlinear complexity

Theorem For any countable set of degrees, $S = \{\mathbf{d}_1, \mathbf{d}_2, \dots\}$ there exists subshifts with **arbitrarily slow superlinear complexity** and **spectrum $S \cup \{0\}$** .

Proof idea.

For each degree $\mathbf{d}_i \in S$ include s of degree \mathbf{d}_i :

$$\dots 0000.10^{2^1} 10^{2^2} 10^{2^3} 1 \dots 10^{2^{m_i}} 10^{2^{m_i}+1+s_1} 10^{2^{m_i}+2+s_2} 1 \dots$$

Limit points:

- $\dots 000010000 \dots$
- $\dots 000000000 \dots$
- $\dots 000010^{2^1} 1 \dots 10^{2^k} 1 \dots$



The inbetweeners

Slowest

Fastest



- **Constant** Only 0.
- **Linear** Finite number of cones and isolated degrees.
- **Exponential** Contains a cone.
- **Superlinear** ~ Anything is possible. Tradeoff
 - ▶ Countable unions, any superlinear growth
 - ▶ Unions, any superlinear computable growth
- **Subexponential** ~ Anything is possible
- **The rest** ~ Anything is possible

